

# ANNEXURE I

## Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - Action Construction Equipment Limited

2. Quarter ending - 30-Sep-2023

### i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit / Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Vijay Agarwal	00057634		C & ED	MD	13-Jan-1995	01-Oct-2018			13-Jan-1949	NA		1	0	1	0	AC,RC	
Mrs.	Mona Agarwal	00057653		ED		13-Jan-1995	01-Oct-2018			18-Nov-1955	NA		1	0	0	0		
Mr.	Sorab Agarwal	00057666		ED		20-Mar-1998	01-Oct-2018			27-Feb-1977	NA		1	0	1	0	SC,RC	
Mrs.	Surbhi Garg	01558782		ED		12-Nov-2011	01-Apr-2020			11-Jan-1978	NA		1	0	0	0		
Mr.	Avinash Parkash Gandhi	00161107		ID		01-Oct-2019	01-Oct-2019		60	01-Oct-1938	Yes	27-Sep-2019	4	4	7	1	AC,SC, NRC	
Mrs.	Divya Singal	08722144		ID		01-Apr-2020	01-Apr-2020		60	21-Mar-1961	NA		1	1	1	1	SC,RC, NRC	
Mr.	Shriniwas Vashisht	06572418		ID		24-Sep-2020	24-Sep-2020		60	08-Nov-1955	NA		1	1	1	0	AC,NRC	
Mr.	Jagan Nath Chamber	08841478		ID		06-Nov-2020	06-Nov-2020		60	15-May-1954	NA		1	1	1	0	AC	

Company Remarks	The members of the Company have re-appointed Mr. Vijay Agarwal as Chairman and Managing Director and Mrs. Mona Agarwal & Mr. Sorab Agarwal as Whole Time Directors in their 29th AGM held on 25th August, 2023 for a period of 5 (five) years w.e.f 01st October, 2023.	
Whether Regular chairperson appointed	Yes	
Whether Chairperson is related to MD or CEO	Yes	
Whether Regular chairperson appointed		Yes
Whether Chairperson is related to MD or CEO		Yes

**i. Composition of Committees**

**a. Audit Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Avinash Parkash Gandhi	ID	Chairperson	01-Oct-2019	
2	Shriniwas Vashisht	ID	Member	24-Sep-2020	
3	Jagan Nath Chamber	ID	Member	06-Nov-2020	
4	Vijay Agarwal	C & ED	Member	01-Oct-2018	

Company Remarks	
Whether Regular chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Divya Singhal	ID	Chairperson	01-Apr-2020	
2	Avinash Parkash Gandhi	ID	Member	01-Oct-2019	
3	Sorab Agarwal	ED	Member	01-Oct-2018	

Company Remarks	
Whether Regular chairperson appointed	Yes

**c. Risk Management Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Vijay Agarwal	C & ED	Chairperson	28-May-2021	
2	Sorab Agarwal	ED	Member	28-May-2021	
3	Divya Singhal	ID	Member	28-May-2021	

Company Remarks	
Whether Regular chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Shriniwas Vashisht	ID	Chairperson	24-Sep-2020	
2	Divya Singhal	ID	Member	01-Apr-2020	
3	Avinash Parkash Gandhi	ID	Member	01-Oct-2019	

Company Remarks	
Whether Regular chairperson appointed	Yes

**e. Corporate Social Responsibility Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Divya Singhal	ID	Chairperson	01-Apr-2020	
2	Mona Agarwal	ED	Member	01-Oct-2018	
3	Jagan Nath Chamber	ID	Member	06-Nov-2020	

Company Remarks	
Whether Regular chairperson appointed	Yes

**ii. Meeting of Board of Directors**

iii. Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
30-May-2023	Yes	8	8	4
11-Aug-2023	Yes	8	8	4

Company Remarks	
Maximum gap between any two consecutive (in number of days)	72

**iv. Meeting of Committees**

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	30-May-2023	Yes	4	4	3	0
Audit Committee	11-Aug-2023	Yes	4	4	3	0

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	72

v. **Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Remark</b>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 1000 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

**Name :** **ANIL KUMAR**  
**Designation :** **Company Secretary & Compliance Officer**

**ANNEXURE III**

**Affirmations**

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	

Presence of Chairperson of the nomination and remuneration committee at the annual general meeting.	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

**Name :** Anil Kumar  
**Designation :** Company Secretary & Compliance Officer

#### ANNEXURE IV

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Director s (including relatives ) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**

<b>Affirmations</b>
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.</i>

<b>Company Remarks in case of non-compliant status</b>	
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